

Lec 8

Merge sorting tree

(* msort : tree → tree
 REQ true
 ENS sorted tree with same elems

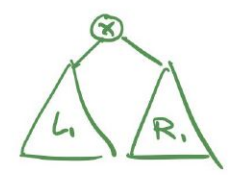
We don't have to do split when doing it on tree

*)
 fun msort (Empty : tree) : tree = Empty
 | msort (Node (L, x, r)) = insert (x, merge (msort L, msort R))

(* merge : tree * tree → tree
 REQ both input sorted
 ENS merge them and is sorted

*)
 fun merge (Empty, T2) = T2
 | merge (Node (L1, x, R1), T2) =
 let
 val (L2, R2) = SplitAt (x, T2)
 in
 Node (Merge (L1, L2), x, Merge (R1, R2))
 end

though this is sequential then we can parallelise merge, unlike what we did with list



(* SplitAt, int * tree → tree * tree

REQ tree sorted

ENS $\hookrightarrow T_1, T_2$ with $\forall n \in T_1, n \leq x, \forall n \in T_2, n \geq x$, same set of nodes.

*)

```
fun splitAt (_, Empty) = (Empty, Empty)
  | splitAt (x, Node (L, y, R)) =
    (case Int.compare (x, y) of
     LESS => let
       val (L1, L2) = splitAt (x, L)
       in
         (L1, Node (L2, y, R))
       end
     - => let
       val (R1, R2) = splitAt (x, R)
       in
         (Node (L, y, R1), R2)
       end
    )
```

SplitAt Analysis — in terms of depth d

$$S_{\text{splitAt}}(0) = c_0$$

$$S_{\text{splitAt}}(d) = \begin{cases} c_1 + S_{\text{splitAt}}(d_1) & \text{if LESS} \\ c_2 + S_{\text{splitAt}}(d_2) & \text{else} \end{cases}$$

$d = \max(d_1, d_2) + 1 \Rightarrow d_1 \leq d-1, d_2 \leq d-1$

$$\leq c_3 + S_{\text{splitAt}}(d-1)$$

$$\in O(d)$$

Merge analysis — d_1, d_2 are depth of the two inputs

$$\begin{aligned}
 S_{\text{merge}}(0, d_2) &= c_0 \\
 S_{\text{merge}}(d_1, d_2) &= c_1 + S_{\text{split}}(d_2) + \max(S_{\text{merge}}(d_{1L}, d_{2L}), S_{\text{merge}}(d_{1R}, d_{2R})) \\
 &\quad \left[\begin{array}{l} d_{1L}, d_{1R} \leq d_1 - 1 \\ d_{2L}, d_{2R} \leq d_2 \end{array} \right. \\
 &\leq c_1 + c_2 d_2 + S_{\text{merge}}(d_1 - 1, d_2) \\
 &= c_1 + c_2 d_2 + c_1 + c_2 d_2 + S_{\text{merge}}(d_1 - 2, d_2) \\
 \dots &= d_1 c_1 + d_1 (c_2 d_2) + c_0 \\
 &\in O(d_1 d_2)
 \end{aligned}$$

Lemma: split doesn't return deeper trees than input

Msort analysis — d is depth of input tree

"so our code doesn't work, end of lecture" (?)

$$\begin{aligned}
 S_{\text{msort}}(0) &= c_0 \\
 S_{\text{msort}}(n) &= c_1 + \max(S_{\text{msort}}(d_1), S_{\text{msort}}(d_2)) + S_{\text{merge}}(d_1', d_2') + S_{\text{insert}}(d_3) \\
 &\quad \left[\begin{array}{l} d_1, d_2 \leq d - 1 \\ \text{uh oh} \end{array} \right.
 \end{aligned}$$

uh oh ... we don't know these

Umm let's do this and retry —

fun msort(Empty : tree) : tree = Empty
 (msort(Node(L, x, r)) = rebalance(insert(x, merge(msort L, msort R))))

$$\begin{aligned}
 S_{\text{msort}}(0) &= c_0 \\
 S_{\text{msort}}(n) &= c_1 + \max(S_{\text{msort}}(d_1), S_{\text{msort}}(d_2)) + S_{\text{merge}}(d_1', d_2') + S_{\text{insert}}(d_3) + S_{\text{rebalance}}(d_4) \\
 &\quad \left[\begin{array}{l} d_1, d_2 \leq d - 1 \\ d_1', d_2', d_3, d_4 \in O(d) \end{array} \right. \\
 &\leq c_1 + S_{\text{msort}}(d_1 - 1) + c_2 d^2 + c_3 d + c_4 d^2 \\
 &\leq c_5 d^2 + S_{\text{msort}}(d_1 - 1) \\
 &\leq c_6 d^3 \\
 &\in O(d^3)
 \end{aligned}$$

will show ↑

If input balanced i.e. $n = 2^d$
 then $O(\log^3 n)$

there's a better analysis that gets it down to $O(\log^2 n)$