

# Lec 3

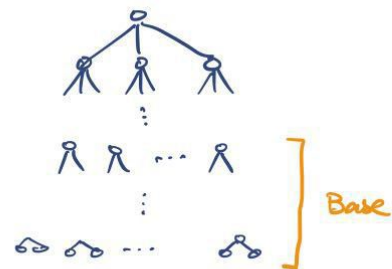
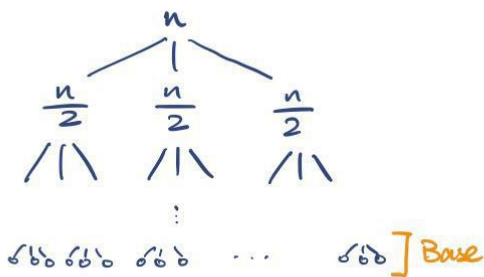
 Brick method balanced case & substitution method

# Brick method - more on leaf dominated case

Thm Suppose  $cost(v) \leq \alpha \cdot cost(\text{children}(v))$  for all nodes  $v$  with input size greater than some  $n_0$ ,  $0 < \alpha < 1$ . Then the overall cost is  $O(cost(\text{base of tree}))$

Ex.  $W(n) = 3W(\frac{n}{2}) + n$

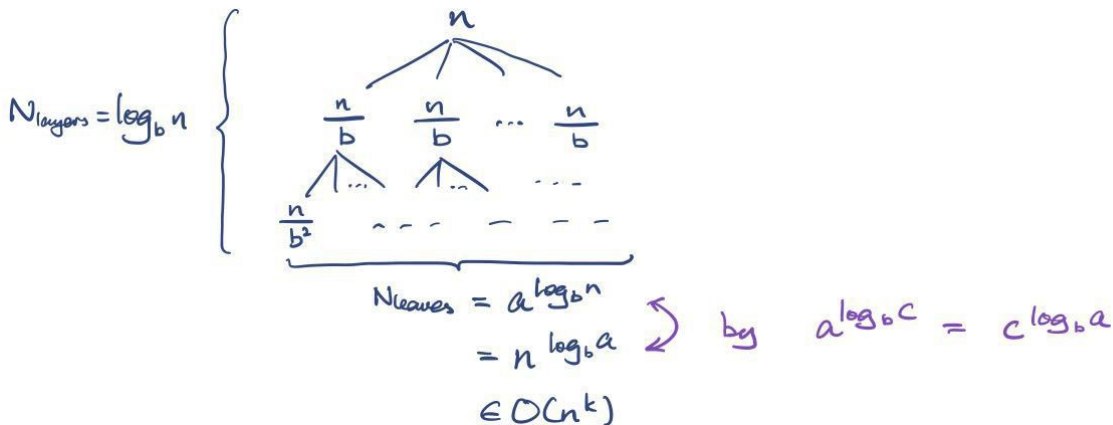
$$W(n) = \begin{cases} 3W(\frac{n}{2}) + n & n > 4 \\ 2W(n-1) + 1 & 1 < n \leq 4 \\ 1 & n \leq 1 \end{cases}$$



## Computing cost of base

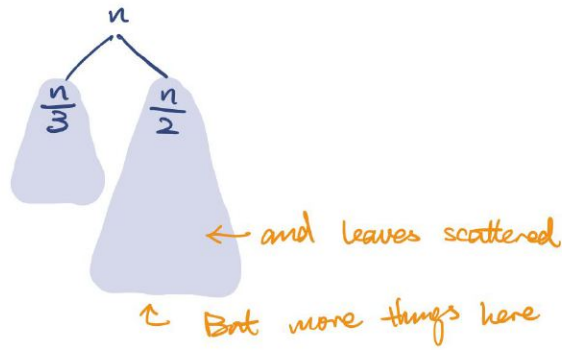
Usually ... if leaves has  $O(1)$  and root is leaves,  $cost(\text{base of tree}) = O(\# \text{leaves})$

Ex.  $W(n) = a W(\frac{n}{b}) + \dots$



But some cases are different ...

Ex.  $W(n) = W(\frac{n}{2}) + W(\frac{n}{3}) + \sqrt{n} \dots$



local cost:  $\text{cost}(v_n) = \sqrt{n}$   
 $\text{cost}(\text{children}(v_n)) = \sqrt{\frac{n}{2}} + \sqrt{\frac{n}{3}} = \sqrt{n} \left( \frac{1}{\sqrt{2}} + \frac{1}{\sqrt{3}} \right)$   
 $= 1.284\sqrt{n}$

↑  
So increasing —  
leave dominated.

sometimes  
not the case

#leaves  $L(n) = \begin{cases} 1 & \text{if } n \leq 1 \\ L(\frac{n}{2}) + L(\frac{n}{3}) & \text{else} \end{cases}$

## # Substitution Method

— aka guess and check  
 |  
 come up with some function — by induction

Ex. (cont) guess:  $L(n) = n^b$  for some constant  $b$

(BC)  $L(n) = n^b = 1^b = 1$  for all  $b$ .

(IH) Assume for  $0 \leq k < n$ ,  $L(k) = k^b$ .

(IS)  $L(n) = L(\frac{n}{2}) + L(\frac{n}{3})$   
 $= (\frac{n}{2})^b + (\frac{n}{3})^b$  by IH  
 $= n^b \cdot \left( \frac{1}{2^b} + \frac{1}{3^b} \right)$

But if we want  $n^b \cdot \left( \frac{1}{2^b} + \frac{1}{3^b} \right) = n^b$ , we need:

$$\frac{1}{2^b} + \frac{1}{3^b} = 1$$

⌘ Wolfram alpha

$$b \approx 0.788 \dots$$

$\Rightarrow L(n) = n^{0.788 \dots}$   
 $W(n) \in O(n^{0.788 \dots})$  ↪ since leaves dominated

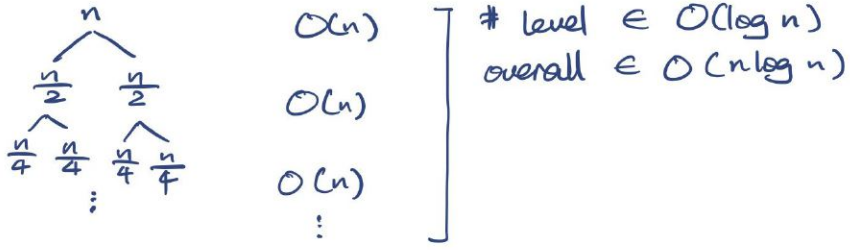
(if ... say base case costs  $O(n)$ ,  
 $W(n, m) \in O(mn^{0.788 \dots})$ )

## # Brick method - balanced tree

If work balanced across levels:

overall cost  $\leq \max_{L_i} (\text{cost}(L_i)) \cdot \# \text{ levels}$   
*asymptotically the same as imprecise definition*  
*highest cost level*

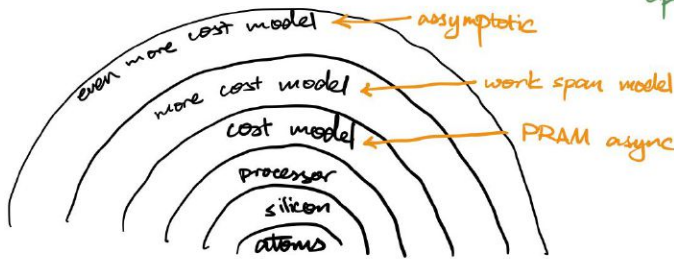
Ex. Merge sort  
 $W(n) = 2W(\frac{n}{2}) + O(n)$



Note: not all recurrences fall in one of brick cases

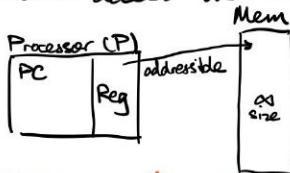
## # Cost models

— another layer of abstraction,  
 the question of asymptotic what?  
 time?  
 operations?



Some types of models...

- Random access machine (RAM) model ← Good enough for writing better algorithm



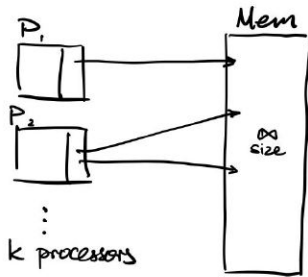
$O(1)$  instructions: read, write, add, multiply, jumps, conditionals...

Sequential complexity in 1.2.2: #instructions on RAM model

Imperfection: read/write may not be  $O(1)$  ... (think cache)

- IO model: non-constant read/write cost

- RAM model but multiple processors



- P-RAM model : *that* but all processors run synchronously
  - P-RAM (W) : variant to allow write at same time
  - P-RAM (exclusive W) : -- disallow ---

Problems: how do we model and partition?  
 maybe possible, but messy to work with  
 also synchronisation is costly to implement  
 ↳ but asynchronous makes it even harder to program

- On top of async PRAM — Nested Parallel Work-Span Model  
 More like a language wxt model than machine model

| expressions         |              | Work                  | Span                       |
|---------------------|--------------|-----------------------|----------------------------|
| $e ::= x$           | (var)        | 1                     | 1                          |
| $c$                 | (constant)   | 1                     | 1                          |
| $e_1 + e_2$         |              | $W(e_1) + W(e_2) + 1$ | $S(e_1) + S(e_2) + 1$      |
| $e_1 \parallel e_2$ | (parallel)   | $W(e_1) + W(e_2) + 1$ | $\max(S(e_1), S(e_2)) + 1$ |
| $e_1, e_2$          | (sequential) | $W(e_1) + W(e_2) + 1$ | $S(e_1) + S(e_2) + 1$      |