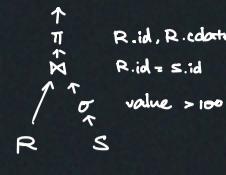


## Lec 10 Sorting and Aggregation

Preview

Query plan



Algorithm

- Maximise sequential IO
- Spill to disk if necessary
- Sort when ORDER BY
  - ... DISTINCT, GROUP BY

### # In-memory Sorting

- Just run sorting algorithm
  - ↳ Quicksort, TimSort, Insertion Sort
    - ↳ hybrid merge sort & insertion sort
  - ↳ Perf depends on data distribution
    - ↳ nearly sorted — simple ones may be faster

### # Top-n heap sort

If only want top-n elems, iteratively put things in sorted size-n heap (discard things when appropriate when heap is full)  
viz. keep top-n so far while scanning input

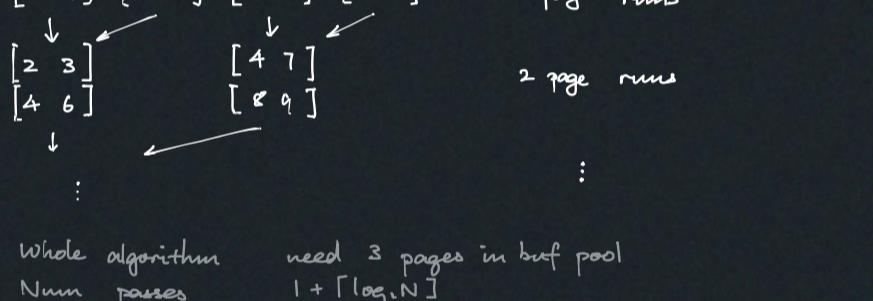
### # External merge sort — sort things larger than mem

D&C into multiple smaller runs, sort them, then combine

- Keep keys & point to data, sort, then chase pointer
- Keep keys & data, then sort (early materialisation)

#### ▷ 2 way external merge sort

Sort each run, write to new tmp file, keep merging



Whole algorithm need 3 pages in buf pool

Num passes  $1 + \lceil \log_2 N \rceil$

IO cost  $2N \cdot \text{num passes}$

Generalise - increase fanout, multi-way merge  
Optimise ↳ use heap to find min

- go straight to higher page run  
↳ step func! □

⇒ Num passes  $1 + \lceil \log_{B-1} \lceil \frac{N}{B} \rceil \rceil$   $B = \text{buffer size}$   
IO cost  $2N \cdot \text{num passes}$

- Double buffering: prefetch data for next run in background while merging. Requires double buff pool size — should use if halving B doesn't bring num passes

- Code opt.: inline the comparison func

- String sorting: try use prefix

### # BTree Sorting

↳ If clustered (leaves in physical order), then good idea to run sorting on those pages. Else bad idea

### # Aggregation

#### ▷ DISTINCT

→ filter, get column, sort, scan & remove duplicate  
↳ still sorted after dedup

→ external hashing

1. Partition — two indep hash funcs  $h_1, h_2$

filter, get column, partition by  $h_1$  into buckets

2. Rehashing (hopefully hash table fit in memory)

rehash each bucket into hash table via  $h_2$  (on RAM or with disk hash table)

#### ▷ GROUP BY, calc AVG

→ keep running aggregation in mem as hash table.

↳ for AVG, keep running sum & count

↳ for COUNT, MIN, MAX, SUM, etc. ↳ hopefully in mem